



Data Collection Worksheet

Please Note: The Data Collection Worksheet (DCW) is a tool to aid integration of a PhenX protocol into a study. The PhenX DCW is not designed to be a data collection instrument. Investigators will need to decide the best way to collect data for the PhenX protocol in their study. Variables captured in the DCW, along with variable names and unique PhenX variable identifiers, are included in the PhenX Data Dictionary (DD) files.

FAMILY LISTING

I. Sequential ID assigned to each FU member (1 - 24). Repeat the following for each FU member.

Mark a FU member as 'Mover Out' for a person who moved out to another household.

I.A. How about you/[FU member])? Are you/Is [FU member] currently living here/there as part of the family?

Yes

No

I.B. Next, I'd like to know who lives here/there as part of the family. How about you/[FU member])? Are you/Is [FU member] currently living here/there) as part of the family?

Yes

No

I.C. Is anyone else currently living here/there as part of the family including any newborn children?

Yes

No

I.D. [Ask if FU member is 'Mover Out'] When did [FU member] move out? Do you know what season it was?

January

February

March

April

May

June

July

August

September

October

November

December

Winter

Spring

Summer

Fall

I.E. [Ask if FU member is 'Mover Out'] When did [FU member] move out?

Year: _____

I.F. Now, I would like to check some birthdate information. What is your/[FU member]'s complete birthdate?

I.F.1. Month

January

February

- March
- April
- May
- June
- July
- August
- September
- October
- November
- December
- Don't know/Refused

I.F.2. Day

- (1 - 31): _____
- Don't know/Refused

I.F.3. Year

- (1880 - [Current Year]): _____
- Don't know/Refused

I.F.4. [If I.F.1., I.F.2. or I.F.3. = Don't know/Refused] Could you tell me how old (you are/he is/she is)? (IF necessary: What is your best estimate?)

- (1 - 120): _____
- Don't know/Refused

I.F.4.a. [If I.F.4. = Don't know/Refused] Can you tell me if [FU member] is age 16 or older?

Yes

No

I.G. What is (your/[FU members]) current marital status? (Are you/[Is he / Is she]) now married, widowed, divorced, separated, or (have you/[has he / has she]) never been married?

Married

Never married

Widowed

Divorced

Separated

I.G.1 [If I.G. = Married] What is (your/[FU members]) spouses name?

Name: _____

I.H. Is the Marital Status Information Table complete?

Yes

No

HOUSING WEALTH

II. Do you (or anyone else in your family living there) own the (apartment/home/mobile home), pay rent, or what?

- If coop/cooperative, Ask: Are you a shareholder—do you have a purchase agreement.—in the cooperative, or do you have a lease agreement?
- If shareholder/purchase agreement or don't know, Enter "own"
- If LEASE agreement, Enter "rent"

Own: Any family unit member owns or is buying (fully or jointly)

Rent: Family unit pays rent

Other: Family unit neither owns nor rents

II.A. [Ask if II. = Own] Could you tell me what the present value of your (apartment/home/mobile home) is (including the value of the lot if the residence is a mobile home and you own the lot)—I mean about how much would it bring if you sold it today?

\$ _____ Don't know/Refused

II.A.1. [Ask if II.A. = Don't know/Refused] Would it amount to \$200,000 or more?

Yes

No

Don't know/Refused

II.A.1.a. [Ask if II.A.1. = Yes] Would it amount to \$300,000 or more?

Yes

No

Don't know/Refused

II.A.1.a.i. [Ask if II.A.1.a. = Yes] Would it amount to \$500,000 or more?

Yes

No

Don't know/Refused

II.A.1.b. [Ask if II.A.1. = No] Would it amount to \$100,000 or more?

Yes

No

Don't know/Refused

II.A.1.b.i. [Ask if II.A.1.b. = No] Would it amount to \$50,000 or more?

Yes

No

Don't know/Refused

II.B. Do you have a mortgage or loan on this property? [If more than one, please tell me about the one (mortgage or loan) you've had the longest]

Yes: mortgage, land contract, home equity loan, etc.

No

II.B.1. [Ask if II.B. = Yes] About how much is the remaining principal on this loan?

\$ _____

DIVIDENDS

III. Did you receive any other income from dividends?

Yes

No

III.A. [Ask if III. = Yes] How much was it?

\$ _____ Don't know/Refused

III.A.1. [Ask if III.A. = \$ amount] The amount entered is per:

Month

Year

Other, specify: _____

III.A.2. [Ask if I.G. = Married] Does that include your/[spouse]s

dividends?

Yes

No

III.A.3. [Ask if III. = Yes] Is that in addition to the amount you reported for yourself?

Yes

No

III.B. [Ask if III. = Yes] During which months of [PYEAR] did you get this income?

January

February

March

April

May

June

July

August

September

October

November

December

All

OTHER REAL ESTATE

V. Do you (or your family living there) have any real estate other than your main home, such as a second home, land (not including farmland), rental real estate, or money owed to you on a land contract?

Yes

No

V.A. Does that include a second home?

Yes

No

V.B. How much is the real estate worth, that is, how much would it sell for?

\$ _____ Don't know/Refused

V.B.1. [Ask if V.B. = Don't know/Refused] Would it amount to \$50,000 or more?

Yes

No

Don't know/Refused

V.B.1.a. [Ask if V.B.1. = Yes] \$175,000 or more?

Yes

No

Don't know/Refused

V.B.1.b. [Ask if V.B.1. = No] \$20,000 or more?

Yes

No

Don't know/Refused

V.C. (And) how much debt do you owe on that (real estate)?

\$ _____ Don't know/Refused

V.C.1. [Ask if V.C. = Don't know/Refused] Would it amount to \$50,000 or more?

Yes

No

Don't know/Refused

V.C.1.a. [Ask if V.C.1 = Yes] \$175,000 or more?

Yes

No

Don't know/Refused

V.C.1.b. [Ask if V.C.1 = No] \$20,000 or more?

Yes

No

Don't know/Refused

PERSONAL VEHICLES

VI. What about the value of what you (or anyone in your family living there) own on wheels? Including personal vehicles you may have already told me about and any cars, trucks, a motor home, a trailer, or a boat—what are they worth all together, minus anything you still owe on them?

\$ _____ Don't know/Refused

VI.A. [Ask if VI. = Don't know/Refused] Would it amount to \$10,000 or more?

Yes

No

Don't know/Refused

VI.A.1. [Ask if VI.A. = Yes] Would it amount to \$25,000 or more?

Yes

No

Don't know/Refused

VI.A.2. [Ask if VI.A. = No] Would it amount to \$2,000 or more?

Yes

No

Don't know/Refused

FARM OR BUSINESS

VII. Do you (or anyone in your family living there) own part or all of a farm or business?

Yes

No

VII.A. [Ask if VII. = Yes] How much is your part (of the farm or business) worth, that is, how much would it sell for?

\$ _____ Don't know/Refused

VII.A.1. [Ask if VII.A. = Don't know/Refused] Would it amount to \$50,000 or more?

Yes

No

Don't know/Refused

VII.A.1.a. [Ask if VII.A.1. = Yes] \$200,000 or more?

Yes

No

Don't know/Refused

VII.A.1.b. [Ask if VII.A.1. = No] \$10,000 or more?

Yes

No

Don't know/Refused

VII.B. [Ask if VII. = Yes] And how much debt do you owe on that (farm or business)?

\$ _____ Don't know/Refused

VII.B.1. [Ask if VII.B. = Don't know/Refused] Would it amount to \$50,000 or more?

Yes

No

Don't know/Refused

VII.B.1.a. [Ask if VII.B.1. = Yes] \$200,000 or more?

Yes

No

Don't know/Refused

VII.B.1.b. [Ask if VII.B.1. = No] \$10,000 or more?

Yes

No

Don't know/Refused

STOCKS

VIII. Do you (or anyone in your family living there) have shares of stock in publicly held corporations, stock mutual funds, or investment trusts, not including stocks in retirement accounts?

Yes

No

VIII.A. [Ask if VIII. = Yes] If you sold all that and paid off anything you owed on it, how much would you have?

\$ _____ [] Don't know/Refused

VIII.A.1. [Ask if VIII.A. = Don't know/Refused] Would it amount to \$50,000 or more?

[] Yes

[] No

[] Don't know/Refused

VIII.A.1.a. [Ask if VIII.A.1. = Yes] \$150,000 or more?

[] Yes

[] No

[] Don't know/Refused

VIII.A.1.b. [Ask if VIII.A.1. = No] \$15,000 or more?

[] Yes

[] No

[] Don't know/Refused

IX. [If III.A. = Don't know/Refused] How much in dividends did you receive?

\$ _____ [] Don't know/Refused

IX.A. [Ask if IX. = \$ amount] The amount entered is per:

[] Month

[] Year

[] Other—specify _____

IX.B. [Ask if IX. = Don't know/Refused] During which months did you get this income?

- January
- February
- March
- April
- May
- June
- July
- August
- September
- October
- November
- December
- All

ANNUITIES AND INDIVIDUAL RETIREMENT ACCOUNT (IRA)

X. Do you (or anyone in your family living there) have any money in private annuities, or Individual Retirement Accounts (I.R.A.s)?

- Yes
- No

X.A. [Ask if X. = Yes] Are they mostly in stocks, mostly in interest earning assets, split between the two, or what?

- Mostly stocks
- Mostly earning interest
- Split

X.B. [Ask if X. = Yes] How much would they be worth?

\$ _____ [] Don't know/Refused

X.B.1. [Ask if X.B. = Don't know/Refused] Would they amount to \$50,000 or more?

[] Yes

[] No

[] Don't know/Refused

X.B.1.a [Ask if X.B.1. = Yes] \$100,000 or more?

[] Yes

[] No

[] Don't know/Refused

X.B.1.a.i. [Ask if X.B.1.a. = Yes] \$200,000 or more?

[] Yes

[] No

[] Don't know/Refused

X.B.1.b. [Ask if X.B.1. = No] \$15,000 or more?

[] Yes

[] No

[] Don't know/Refused

CHECKING, SAVINGS, MONEY MARKET ACCOUNTS

XI. Do you (or anyone in your family living there) have checking or savings accounts, including money market accounts?

[] Yes, one or more

[] No, none

[] Don't know/Refused

XI.A. [Ask if XI. = Yes] If you added up all such accounts (for all of your family living there), about how much would they amount to right now?

\$ _____ [] Don't know/Refused

XI.A.1. [Ask if XI.A. = Don't know/Refused] Would they amount to \$4,000 or more?

[] Yes

[] No

[] Don't know/Refused

XI.A.1.a. [Ask if XI.A.1. = Yes] Would they amount to \$15,000 or more?

[] Yes

[] No

[] Don't know/Refused

XI.A.1.a.i. [Ask if XI.A.1.a. = Yes] Would they amount to \$60,000 or more?

[] Yes

[] No

[] Don't know/Refused

XI.A.1.b. [Ask if XI.A.1. = No] Would it amount to \$1,000 or more?

[] Yes

[] No

[] Don't know/Refused

CERTIFICATE OF DEPOSIT (CD), BONDS, TREASURY BILLS

XII. Not including retirement accounts, do you (or anyone in your family living

there) have any money in certificates of deposit, government bonds, or treasury bills?

Yes, one or more

No, none

Don't know/Refused

XII.A. [Ask if XII. = Yes] If you added up all such accounts (for all of your family living there), about how much would they amount to altogether right now?

\$ _____ Don't know/Refused

XII.A.1. [Ask if XII.A. = Don't know/Refused] Would it amount to \$5,000 or more?

Yes

No

Don't know/Refused

XII.A.1.a. [Ask if XII.A.1. = Yes] Would it amount to \$10,000 or more?

Yes

No

Don't know/Refused

XII.A.1.a.i. [Ask if XII.A.1.a. = Yes] Would it amount to \$50,000 or more?

Yes

No

Don't know/Refused

XII.A.1.b. [Ask if XII.A.1. = No] Would it amount to \$1,000 or more?

- Yes
- No
- Don't know/Refused

XII.A.1.c. [Ask if XII.A.1. = Don't know/Refused] How much interest did you (and all your family living there) earn altogether in [PYEAR]?

- \$0
- \$ _____ Don't know/Refused

XII.A.1.c.i. [Ask if XII.A.1.c. = \$ amount] The amount entered is: \$_____ per:

- Month
- Year
- Other, specify _____

XII.A.1.c.ii. [Ask if XII.A.1.c. = Don't know/Refused] During which months of [PYEAR] did you get this income? Select all that apply.

- January
- February
- March
- April
- May
- June
- July
- August
- September
- October
- November

December

All

LIFE INSURANCE, COLLECTIONS, TRUSTS, ESTATES

XIII. Do you (or anyone in your family living there) have any other savings or assets, such as cash value in a life insurance policy, a valuable collection for investment purposes, or rights in a trust or estate that you haven't already told us about?

Yes

No

XIII.A. [Ask if XIII. = Yes] If you sold that and paid off any debts on it, how much would you have?

\$ _____ Don't know/Refused

XIII.A.1. [Ask if XIII.A. = Don't know/Refused] Would it amount to \$10,000 or more?

Yes

No

Don't know/Refused

XIII.A.1.a. [Ask if XIII.A.1. = Yes] \$25,000 or more?

Yes

No

Don't know/Refused

XIII.A.1.b. [Ask if XIII.A.1. = No] \$2,000 or more?

Yes

No

Don't know/Refused

CREDIT CARD DEBTS

XIV. Aside from the debts that we have already talked about, like any mortgage on your main home or vehicle loans, do you (or anyone in your family living there) currently have any credit card or store card debt? Do not count new debt that will be paid off this month.

Yes

No

XIV.A. [Ask if XIV. = Yes] If you added up all credit card and store card debts (for all of your family living there), about how much would they amount to right now? (Please do not count any new debt that will be paid off this month.)

\$ _____ Don't know/Refused

XIV.A.1. [Ask if XIV.A. = Don't Know or Refused] Would it amount to \$5,000 or more?

Yes

No

Don't know/Refused

XIV.A.1.a. [Ask if XIV.A.1. = Yes] \$10,000 or more?

Yes

No

Don't know/Refused

XIV.A.1.a.i. [Ask if XIV.A.1.a. = Yes] \$15,000 or more?

Yes

No

Don't know/Refused

XIV.A.1.b. [Ask if XIV.A.1. = No] \$1,000 or more?

Yes

No

Don't know/Refused

DEBT FROM STUDENT LOANS, MEDICAL AND LEGAL BILLS, LOANS FROM RELATIVES

XV. Do you (or anyone in your family living there) currently have any other debts such as student loans, medical or legal bills, or loans from relatives? (INCLUDE unpaid balance(s), or student loans that are outstanding.)

Student loans

Medical bills

Legal bills

Loans from relatives

Other—specify _____

No

Don't know/Refused

XV.A. If you added up all student loans [for all of your family living there], about how much would they amount to right now?

\$ _____ Don't know/Refused

XV.A.1. [Ask if XV.A. = Don't Know or Refused] Would it amount to \$25,000 or more?

Yes

No

Don't know/Refused

XV.A.1.a. [Ask if XV.A.1. = Yes] Would it amount to \$50,000 or

more?

Yes

No

Don't know/Refused

XV.A.1.a.i. [Ask if XV.A.1.a. = Yes] Would it amount to \$75,000 or more?

Yes

No

Don't know/Refused

XV.A.1.b. [Ask if XV.A.1. = No] Would it amount to \$10,000 or more?

Yes

No

Don't know/Refused

XVI. If you added up all medical bills (for all of your family living there), about how much would they amount to right now?

\$ _____ Don't know/Refused

XVI.A. [Ask if XVI. = Don't know/Refused] Would they amount to \$3,000 or more?

Yes

No

Don't know/Refused

XVI.A.1. [Ask if XVI.A. = Yes] Would they amount to \$10,000 or more?

Yes

No

Don't know/Refused

XVI.A.1.a. [Ask if XVI.A.1. = Yes] Would they amount to \$25,000 or more?

Yes

No

Don't know/Refused

XVI.A.2. [Ask if XVI.A. = No] Would they amount to \$1,000 or more?

Yes

No

Don't know/Refused

XVII. If you added up all legal bills (for all of your family living there), about how much would they amount to right now]?

\$ _____ Don't know/Refused

XVII.A. [Ask if XVII. = Don't know/Refused] Would they amount to \$3,000 or more?

Yes

No

Don't know/Refused

XVII.A.1. [Ask if XVII.A. = Yes] Would they amount to \$8,000 or more?

Yes

No

Don't know/Refused

XVII.A.1.a. [Ask if XVII.A.1. = Yes] Would they amount to \$20,000 or more?

Yes

No

Don't know/Refused

XVII.A.2. [Ask if XVII.A. = No] Would they amount to \$1,000 or more?

Yes

No

Don't know/Refused

XVIII. If you added up all loans from relatives (for all of your family living there), about how much would they amount to right now?

\$ _____ Don't know/Refused

XVIII.A. [Ask if XVIII. = Don't know/Refused] Would they amount to \$4,000 or more?

Yes

No

Don't know/Refused

XVIII.A.1. [Ask if XVIII.A. = Yes] Would they amount to \$10,000 or more?

Yes

No

Don't know/Refused

XVIII.A.1.a. [Ask if XVIII.A.1. = Yes] Would they amount to \$20,000 or more?

Yes

No

Don't know/Refused

XVIII.A.2. [Ask if XVIII.A. = No] Would they amount to \$1,000 or more?

Yes

No

Don't know/Refused

XIX. What were those other debts?

Specify: _____

XIX.A. If you added up all other debts (for all of your family living there), about how much would they amount to right now?

\$ _____ Don't know/Refused

XIX.A.1. [Ask if XIX.A. = Don't know/Refused] Would they amount to \$4,000 or more?

Yes

No

Don't know/Refused

XIX.A.1.a. [Ask if XIX.A.1. = Yes] Would they amount to \$10,000 or more?

Yes

No

Don't know/Refused

XIX.A.1.a.i. [Ask if XIX.A.1.a. = Yes] Would they amount to \$20,000 or more?

Yes

No

Don't know/Refused

XIX.A.1.b. [Ask if XIX.A.1. = No] Would they amount to \$1,000 or more?

Yes

No

Don't know/Refused

MONEY INTO OR CASH FROM ANNUITY OR IRA

XX. These next questions are about changes in your wealth during the last two years. Since January [P2YEAR], did you (or anyone in your family living there) put aside money in any private annuities or I.R.A.s (Individual Retirement Account)?

Yes

No

XX.A. How much did that amount to?

\$ _____ Don't know/Refused

XX.A.1. [Ask if XX.A. = Don't know/Refused] Would they amount to \$1,000 or more?

Yes

No

Don't know/Refused

XX.A.1.a. [Ask if XX.A.1. = Yes] Was it \$10,000 or more?

Yes

No

Don't know/Refused

XX.A.1.a.i. [Ask if XX.A.1.a. = Yes] Was it \$50,000 or more?

Yes

No

Don't know/Refused

XX.A.1.a.ii. [Ask if XX.A.1.a. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

XX.B. [If XX. = No or XX.A.1.a. = Don't know/Refused] Since January [P2YEAR], did you (or anyone in your family living there) cash in any part of a pension, private annuity or I.R.A. (Individual Retirement Account)?

Yes

No

XX.B.1. [Ask if XX.B. = Yes] How much did that amount to?

\$ _____ Don't know/Refused

XX.B.1.a. [Ask if XX.B.1. = Don't know/Refused] Was it \$10,000 or more?

Yes

No

Don't know/Refused

XX.B.1.a.i. [Ask if XX.B.1.a. = Yes] Was it \$50,000 or more?

Yes

No

Don't know/Refused

XX.B.1.a.i.a. [Ask if XX.B.1.a.i. = Yes] Was it \$100,000 or more?

Yes

No

Don't know/Refused

XX.B.1.a.ii. [Ask if XX.B.1.a. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

BUY OR SELL REAL ESTATE

XXI. Since January [P2YEAR], did you (or anyone in your family living there) sell any home you were using as your main residence?

Yes

No

XXI.A. [Ask if XXI. = Yes] About how much was the selling price, not including selling commissions and costs? (If R sold more than one main home, ask about the one owned in January, [P2YEAR])

\$ _____ Don't know/Refused

XXI.A.1. [Ask if XXI.A. = Don't know/Refused] Was it \$60,000 or more?

Yes

No

Don't know/Refused

XXI.A.1.a. [Ask if XXI.A.1. = Yes] Was it \$120,000 or more?

Yes

No

Don't know/Refused

XXI.A.1.b. [Ask if XXI.A.1. = No] Was it \$30,000 or more?

Yes

No

Don't know/Refused

XXII. Since January [P2YEAR], did you (or anyone in your family living there) buy or sell any real estate other than your main home, such as a vacation home, land (not including farmland), or rental property?

- If R says only "Yes", PROBE: Did you buy only, sell only, or both?

Yes, bought only

Yes, sold only

Yes, both bought and sold

No, neither

Don't know/Refused

XXII.A. [Ask if XXII. = Yes, bought only or Yes, both bought and sold]
Altogether, how much money did you (or anyone in your family living there) spend on any real estate other than your main home, such as a vacation home, land (not including farmland), or rental property since

January [P2YEAR]?

\$ _____ Don't know/Refused

XXII.A.1. [Ask if XXII.A. = Don't know/Refused] Was it \$60,000 or more?

Yes

No

Don't know/Refused

XXII.A.1.a. [Ask if XXII.A.1. = Yes] Was it \$120,000 or more?

Yes

No

Don't know/Refused

XXI.A.1.b. [Ask if XXI.A.1. = No] Was it \$30,000 or more?

Yes

No

Don't know/Refused

XXII.B. [Ask if XXII. = Yes, sold only or Yes, both bought and sold] Altogether, how much money did you (or anyone in your family living there) get from selling any real estate other than your main home, such as a vacation home, land (not including farmland), or rental property since January [P2YEAR]?

\$ _____ Don't know/Refused

XXII.B.1. [Ask if XXII.B. = Don't know/Refused] Was it \$60,000 or more?

Yes

No

Don't know/Refused

XXII.B.1.a. [Ask if XXII.B.1. = Yes] Was it \$120,000 or more?

Yes

No

Don't know/Refused

XXII.B.1.b. [Ask if XXII.B.1. = No] Was it \$30,000 or more?

Yes

No

Don't know/Refused

HOME IMPROVEMENTS

XXIII. Since January [P2YEAR], did you (or anyone in your family living there) make additions or improvements totaling \$10,000 or more to any homes or other real estate you owned? Do not count general maintenance or upkeep.

Yes

No

XXIII.A. [Ask if XXIII. = Yes] What was the total dollar cost of the additions or improvements, plus the value of any work you may have done yourself?

\$ _____ Don't know/Refused

XXIII.A.1. [Ask if XXIII.A. = Don't know/Refused] Was it \$25,000 or more?

Yes

No

Don't know/Refused

XXIII.A.1.a. [Ask if XXIII.A.1. = Yes] Was it \$75,000 or more?

Yes

No

Don't know/Refused

BUY OR SELL BUSINESS OR FARM

XXIV. Since January [P2YEAR], did you (or anyone in your family living there) put money into or sell part or all of your interest in a business or farm?

- If R says only "Yes", PROBE: Did you put money in only, sell only, or both?

Yes, put money in only

Yes, sold only

Yes, both put money in and sold

No, neither

Don't know/refused

XXIV.A. [Ask if XXIV. = Yes, put money in only or Yes, both put money in and sold] Altogether, how much money did you [or anyone in your family living there] put into a business or farm (since January [P2YEAR])?

\$ _____ [] Don't know/Refused

XXIV.A.1. [Ask if XXIV.A. = Don't know/Refused] Was it \$25,000 or more?

[] Yes

[] No

[] Don't know/Refused

XXIV.A.1.a. [Ask if XXIV.A.1. = Yes] Was it \$100,000 or more?

[] Yes

[] No

[] Don't know/Refused

XXIV.A.1.b. [Ask if XXIV.A.1. = No] Was it \$10,000 or more?

[] Yes

[] No

[] Don't know/Refused

XXIV.B. [Ask if XXIV. = Sold only or Both put money in and sold] Altogether, how much money did you [or anyone in your family living there] get from selling a business or farm (since January [P2YEAR])?

XXIII.B.1. [Ask if XXIII.B. = Don't know/Refused] Was it \$25,000 or more?

[] Yes

[] No

[] Don't know/Refused

XXIV.B.1.a. [Ask if XXIV.B.1. = Yes] Was it \$100,000 or more?

[] Yes

[] No

[] Don't know/Refused

XXIV.B.1.b. [Ask if XXIV.B.1. = No] Was it \$10,000 or more?

Yes

No

Don't know/Refused

BUY OR SELL STOCK

XXV. Since January [P2YEAR], did you (or anyone in your family living there) buy or sell any shares of stock in publicly held corporations, stock mutual funds, or investment trusts, including any automatic reinvestments—not including any retirement accounts?

If R says “Yes”, PROBE: Did you buy only, sell only, or both?

Yes, bought only

Yes, sold only

Yes, both bought and sold

No, neither

Don't know/Refused

XXV.A. [Ask if XXIV = Yes, bought only or Yes, both bought and sold]
Altogether, how much money did you (or anyone in your family living there) spend on (shares of) stocks (since January [P2YEAR])?

\$ _____ Don't know/Refused

XXV.A.1. [Ask if XXV.A. = Don't know/Refused] Was it \$20,000 or more?

Yes

No

Don't know/Refused

XXV.A.1.a. [Ask if XXV.A.1. = Yes] Was it \$50,000 or more?

Yes

No

Don't know/Refused

XXV.A.1.a.i. [Ask if XXV.A.1.a. = Yes] Was it \$100,000 or more?

Yes

No

Don't know/Refused

XXV.A.1.b. [Ask if XXV.A.1. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

XXV.B. [Ask if XXV. = Sold only or Both put money in and sold or XXV.A.1. = Don't know/Refused] Altogether, how much money did you [or anyone in your family living there] get from selling (shares of) stocks (since January [P2YEAR])?

\$ _____ Don't know/Refused

XXV.B.1. [Ask if XXV.B. = Yes] Was it \$20,000 or more?

Yes

No

Don't know/Refused

XXV.B.1.a. [Ask if XXV.B.1. = Yes] Was it \$50,000 or more?

Yes

No

Don't know/Refused

XXV.B.1.a.i. [Ask if XXV.B.1.a. = Yes] Was it \$100,000 or

more?

Yes

No

Don't know/Refused

XXV.B.1.b. [Ask if XXV.B.1. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

ASSETS OR DEBTS FROM MOVERS-IN OR MOVERS-OUT

For each family/household member:

XXVI. [Ask if FU member = 'Mover-Out' and calculated age \geq 18] Are there are Movers-Out Age 18+ Years Old?

Yes

No

XXVI.A. [Ask if XXVI. = Yes] Sometimes changes in a family's savings or assets are due to people joining or leaving the family. Was there anyone living with you in January [P2YEAR] who doesn't live with you now and who took \$5,000 or more in assets or debts away with them?

Yes

No

XXVI.A.1. [Ask if XXVI.A. = Yes] Altogether, what is the total dollar value of assets that were removed that way?

\$ _____ Don't know/Refused

XXVI.A.1.a. [Ask if XXVI.A.1. = \$ amount] Altogether, what is the total dollar value of debts that were removed that way?

\$ _____ Don't know/Refused

XXVI.A.1.a.i. [Ask if XXVI.A.1.a. = Don't know/Refused] Was it \$10,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.a.i.a. [Ask if XXVI.A.1.a.i. = Yes] Was it \$25,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.a.i.b. [Ask if XXVI.A.1.a.i. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.b. [Ask if XXVI.A.1. = Don't know/Refused] Was it \$10,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.b.i. [Ask if XXVI.A.1.b. = Yes] Was it \$25,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.b.i.a. [Ask if XXVI.A.1.b.i. = Yes] Was it \$100,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.b.ii. [Ask if XXVI.A.1.b. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

XXVII. [Ask if FU member = 'Mover-Out' and calculated age \geq 18] Are there are Movers-Out Age 18+ Years Old?

Yes

No

XXVII.A. [Ask if XXVI = Movers-In Age 18+] Is there anyone in your family living with you now who has joined the family since January [P2YEAR] and who had \$5,000 or more in assets or debts at the time they joined the family?

Yes

No

XXVII.A.1. [Ask if XXVII.A. = Yes] Altogether, what is the total dollar value of assets that were brought into the family that way?

\$ _____ Don't know/Refused

XXVI.A.1.a. [Ask if XXVI.A.1. = Don't know/Refused] Was it \$10,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.a.i. [Ask if XXVI.A.1.a. = Yes] Was it \$25,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.a.i.a. [Ask if XXVI.A.1.a.i. = Yes] Was it \$100,000 or more?

Yes

No

Don't know/Refused

XXVI.A.1.a.ii. [Ask if XXVI.A.1.a. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

XXVI.A.2. [Ask if XXVI.A. = Yes] Altogether, what is the total dollar value of debts that were brought into the family that way?

\$ _____ Don't know/Refused

XXVII.A.2.a. [Ask if XXVII.A.2. = Don't know/Refused] Was it \$10,000 or more?

Yes

No

Don't know/Refused

XXVII.A.2.a.i. [Ask if XXVII.A.2.a. = Yes] Was it \$25,000 or

more?

Yes

No

Don't know/Refused

XXVII.A.2.b. [Ask if XXVII.A.2.a. = No] Was it \$5,000 or more?

Yes

No

Don't know/Refused

LARGE GIFTS AND INHERITANCES

XXVIII. Some people's assets come from gifts and inheritances. During the last two years, have you (or anyone in your family living there) received any large gifts or inheritances of money or property worth \$10,000 or more?

Yes

No

XXVIII.A. [Ask if XXVIII. = Yes] Was that a gift or was that an inheritance? If multiple gifts or inheritances worth \$10,000 or more were received, we can talk about each one separately.

Gift

Inheritance

XXVIII.A.1. What year did you [or anyone in your family living there] receive that? (If multiple gifts or inheritances worth \$10,000 or more were received, we can talk about each one separately.)

P2YEAR

PYEAR

CYEAR

Not yet received

Don't know/Refused

XXVIII.A.1.a. [Ask if XXVIII.A.1. = year entered] How much was that gift/that inheritance/it worth altogether, at the time it was received? (If multiple gifts or inheritances worth \$10,000 or more were received, we can talk about each one separately.)

\$ _____ Don't know/Refused

XXVIII.A.1.a.i. [Ask if XXVIII.A.1.a. = Don't know/Refused]
Would it amount to \$25,000 or more?

Yes

No

Don't know/Refused

XXVIII.A.1.a.i.a. [Ask if XXVIII.A.1.a.i. = Yes] Would it amount to \$75,000 or more?

Yes

No

Don't know/Refused

XXVIII.A.2. Did you [or anyone in your family living there] receive any other large gifts or inheritances of money or property worth \$10,000 or more in the last two years? (If yes, repeat all V questions, maximum 3 times.)

Yes

No

Protocol source: <https://www.phenxtoolkit.org/protocols/view/280501>