Protocol for assessment of potential risk factors for coronavirus disease 2019 (COVID-19) among health workers in a health care setting

Form 4: Health care facility infection prevention and control assessment

The following form will need to be filled out by a health care facility administrator once for every health care facility involved in the study.

Health care facility information	
Name of the health care facility in which a patient with a	
laboratory-confirmed COVID-19 infection is receiving care	
Does the health care facility have appropriate WASH	□ Yes □ No □ Unknown
services and materials?	
Does the health care facility have an infection prevention	Tick all that apply:
and control (IPC) programme and team or at least a	□ IPC programme
dedicated and trained focal point?	☐ IPC team/service
	□ IPC focal point
	☐ IPC training
Does the health care facility have IPC guidelines for health	☐ Yes ☐ No ☐ Unknown
workers?	
Does the health care facility have IPC guidelines for	☐ Yes ☐ No ☐ Unknown
standard and additional (transmission-based) precautions?	
Does the health care facility have regular IPC training for	☐ Yes ☐ No ☐ Unknown
health workers (at least once a year)?	
Does the health care facility have personal protective	□ Yes □ No □ Unknown
equipment (PPE)?	
Is PPE available in sufficient quantity in the health care	□ Yes □ No □ Unknown
facility?	
Is the PPE available of good quality and fit for purpose?	□ Yes □ No □ Unknown
Is alcohol-based hand rub easily available (that is, at the	□ Yes □ No □ Unknown
point of care) for hand hygiene within the health care	
facility?	
Are soap and water available for hand hygiene within the	□ Yes □ No □ Unknown
health care facility?	
Does the health care facility conduct regular (at least once	□ Yes □ No □ Unknown
a year) hand hygiene audits and provide feedback to	
health workers?	If yes, date of last hand hygiene audit
	(dd/mm/yyyy)://
Does the health care facility conduct other IPC audits?	□ Yes □ No □ Unknown
	If yes, date of most recent IPC audit
	(dd/mm/yyyy)://
	(dd/11111/yyyy)/
Does the health care facility have a surveillance system for	☐ Yes ☐ No ☐ Unknown
nosocomial infections in patients?	
Does the health care facility have a surveillance system for	☐ Yes ☐ No ☐ Unknown
nosocomial infections in health workers?	
Does the health care facility screen staff on arrival for	☐ Yes ☐ No ☐ Unknown
symptoms of infection?	

Does the health care facility management alert all health	□ Always
workers if a COVID-19-infected patient is being cared for	☐ In most situations
within the health care facility?	☐ Sometimes we are not alerted on
	time
	☐ Rarely alerted on time
Does the health care facility have a well-equipped triage	□ Yes □ No □ Unknown
station at the entrance, supported by trained staff?	
Are patients with suspected COVID-19 infection isolated	□ Always
upon arrival in the health care facility?	☐ Most of the time
	□ Occasionally
	□ Rarely
	□ Unknown
Is a medical mask fitted to patients with suspected COVID-	□ Always
19 infection upon arrival in the health care facility?	☐ Most of the time
	□ Occasionally
	□ Rarely
	□ Unknown
Are health worker staffing levels adequate for the patient	☐ Always, as recommended
workload?	☐ Most of the time
	□ Occasionally
	□ Rarely
Does bed occupancy exceed the standard capacity of the	□ Always
health care facility?	☐ Most of the time
	□ Occasionally
	□ Rarely